



ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

CERTIFICATION OF ORIENTATION/TRAINING/WEBINAR

This is to certify that I have conducted the following orientation/training/webinar to each of the participants listed below. I have explained related procedures, practices and policies. Each participant was given opportunity to ask questions and practice procedures in the learning environment.

Date of Orientation/ Training/Webinar	Topic	Participants
18 June 2020	Amendments to ELGAP Anti-Money Laundering Prevention Plan	ELGAP Board of Directors
14 July 2020	Data Privacy Act and Anti-Money Laundering Act	Retail Insurance Division - ELGAP
14 July 2020	Data Privacy Act	ELGAP Management and Employees
17 July 2020	Data Privacy Act	ELGAP Management and Employees
21 July 2020	Anti-Money Laundering Act and Counter-Terrorism Financing	ELGAP Management and Employees
24 July 2020	Market Conduct Guidelines	ELGAP Management and Employees
06 September 2020	Whistleblowing Policy and Procedures	ELGAP Management and Employees
10 September 2020	ELGAP Compliance Framework Policy	ELGAP Board of Directors
10 September 2020	Whistleblowing Policy and Procedures	ELGAP Board of Directors
10 November 2020	Basis of Encryption of Personal Data	ELGAP Management and Employees
08 December 2020	Updates on the Revised Data Privacy Manual	ELGAP Management and Employees
09 December 2020	FAQs on Sexual Harassment	ELGAP Management and Employees
10 December 2020	Anti-Bribery Policy and Procedures	ELGAP Board of Directors
10 December 2020	Revised Privacy Manual	ELGAP Board of Directors
10 December 2020	Compliance Checking Report	ELGAP Board of Directors
12 December 2020	Case Law on Sexual Harassment	ELGAP Management and Employees
19 February 2021	Updates on Anti-Money Laundering Act	Cash and Treasury Dept., Information Technology Div., Retail Insurance Div., Policy Services and Conservation Department - ELGAP
05 March 2021	Updates on Anti-Money Laundering Act with focus on Covered and Suspicious Transactions Reporting (CTR and STR)	Underwriting Department - ELGAP
16 March 2021	Rating Examination of Insurance Commission of ELGAP	ELGAP Board of Directors
03 June 2021	Understanding Sexual Harassment in the Workplace	ELGAP Management and Employees
04 June 2021	Data Breach and Security Incidents	ELGAP Management and Employees
15 June 2021	Anti-Bribery and Corruption Policy and Procedures	ELGAP Management and Employees
15 June 2021	Privacy Impact Assessment	ELGAP Management and Employees

CERTIFIED BY:


Atty. Roselle P. Perez-Bariuan
 Compliance Officer
 26 July 2021